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Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet 1 of 1

Sheet 1 of 1 Attorney Docket Number T-113-R&D

Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/892,832
				Filing Date	June 27, 2001
				First Named Inventor	Lloyd Harmon HANCOCK
				Art Unit	1731
				Examiner Name	N/A
Sheet	1	of	1	Attorney Docket Number LL-113-R&D	

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			
CL		WO/02/19848 A1 English translation provided	03-14-2002	Japan Tobacco Inc.	
CL		WO/02/17737 A1 English translation provided for claims only	03-07-2002	Japan Tobacco Inc.	

Examiner Signature		Date Considered	11/14/02
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified)PATENT AND TRADEMARK OFFICE				ATTY DOCKET NO. LL-113-R&D	SERIAL NO. 09/892,834		
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Lloyd Harrmon HANCOCK et al.			
				FILING DATE June 27, 2001	GROUP 1731		
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>OIF</i>	AA	4,998,542	03/12/91	Kallianos et al.	—	—	
<i>JUN 8 2002</i>	AB	4,450,847	05/29/1984	Owens	—	—	
<i>TRADEMARK OFFICE</i>	AC	4,061,147	12/06/1977	Falchi	—	—	
<i>CL</i>	AD	3,915,176	10/28/1975	Heitmann et al.	—	—	
	AE	3,903,899	09/09/1975	Musillo	—	—	
	AF	3,633,589	01/11/1972	Kahane et al.	—	—	
	AG	3,632,384	01/04/1972	Saint-Pastou	—	—	
	AH	3,477,440	09/01/1966	Licis	—	—	
	AI	3,409,021	11/07/1967	Owaki	—	—	
	AJ	3,370,593	02/27/1965	Owaki	—	—	
<i>CL</i>	AK	3,165105	01/12/1965	Campbell	—	—	
	AL	3,030,963	04/24/1962	Cohn	—	—	
	AM	2,998,012	01/23/1957	Lamm	—	—	
	AN	2,718,889	09/27/1955	Claussen	—	—	
	AO	2,335,432	11/30/1943	Millett	—	—	
	AP	2,307,088	01/05/1943	Whiteley	—	—	
	AQ	2,013,508	09/03/1935	Seaman	—	—	
	AR	1,999,222	04/30/1935	Weinberger	—	—	
<i>CL</i>	AS	1,581,451	10/06/1925	Knapp	—	—	
	AT						
	AU						
	AV						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	YES	TRANSLATION	NO
<i>CL</i>	AW	0 262 550 B1	04/06/1968	European	<input checked="" type="checkbox"/>		
	AX				<input checked="" type="checkbox"/>		
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AY						
Examiner <i>[Signature]</i>					Date Considered <i>11/14/02</i>		

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
AA	6,129,087	10/10/2000	Wallace et al.	—	—	
AB	5,878,754	03/09/1999	Peterson et al.	—	—	
AC	5,878,753	03/09/1999	Peterson et al.	—	—	
AD	5,474,095	12/12/1995	Allen et al.	—	—	
AE	5,450,863	09/19/1995	Collins et al.	—	—	
AF	5,417,228	05/23/1995	Baldwin et al.	—	—	
AG	5,263,999	11/23/1993	Baldwin et al.	—	—	
AH	5,263,500	11/23/1995	Baldwin et al.	—	—	
AI	5,191,906	03/09/1993	Myracle, Jr.	—	—	
AJ	5,060,675	10/29/1991	Milford et al.	—	—	
AK	4,945,932	08/07/1990	Mentzel et al.	—	—	
AL	4,889,145	12/26/1989	Adams et al.	—	—	JAN 14 2002
AM	4,739,775	04/26/1988	Hampl, Jr.	—	—	
AN	4,622,983	11/18/1986	Mathews et al.	—	—	
AO	4,615,345	10/07/1986	Durocher	—	—	
AP	4,489,738	12/25/1984	Simon	—	—	
AQ	4,480,650	11/06/1984	Weinert	—	—	
AR	4,452,259	06/05/1984	Norman et al.	—	—	
AS	4,453,553	06/12/1984	Cohn	—	—	
AT	4,239,591	12/16/1980	Blake	—	—	
AU	4,077,414	03/07/1978	Baker et al.	—	—	
AV	2,666,437	01/19/1954	A. Laitof	—	—	
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	YES	TRANSLATION NO	
AW						
AX						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)						
AY						Date Considered 11/4/02
Examiner						

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